

新中国果树科学研究 70 年——杏

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摘要:杏是原产中国的特色果树,有关杏的科学的研究自1949年新中国成立以来,大致经历了起步、发展和快速发展三个阶段。种质资源研究作为基础性工作,在资源调查、收集保存、评价鉴定、指纹图谱和核心种质构建方面进展较好;种质创新与新品种选育取得丰富成果,利用远缘杂交、实生选种、芽变、杂交育种等方法,以现代生物技术辅助,培育出大量新品种用于生产;开花生物学和光合生理研究为栽培提供了有力的支撑,而在肥水高效利用方面进展相对滞后;采后生物学和贮藏保鲜研究工作较为系统深入,但在贮藏过程中如何保持果实的风味,仍然是研究的难题;杏肉和杏仁的加工产品多样,但深加工研究及符合市场需求的产品开发仍然不足。针对杏产业发展的瓶颈问题,提出了发展思路。

关键词:杏;新中国;70年;科学;研究;回顾;展望

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Fruit scientific research in New China in the past 70 years: Apricot

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Abstract: Apricot (*Prunus armeniaca* L.) is a characteristic fruit tree native to China. China's apricot cultivation area and yield are the highest in the world. Apricot research in China has entered a period of both quantitative and qualitative development since 1949. Thirty institutes including Xinjiang Agricultural University, Beijing Academy of Forestry and Pomology Sciences, Liaoning Institute of Pomology, etc., are the active and stable forces of apricot research in China. According to statistics, 268 apricot-related patent applications have been filed, and 274 scientific and technological achievements registered. The world's largest apricot germplasm resources has been established in Liaoning, and apricot core germplasm has been constructed. SSR markers have been applied in establishing the cultivar fingerprints and the phylogenetic relationship, and the evolution in the genus *Armeniaca* has been systematically studied. The development of testing equipment & technology has promoted the research on the quality traits such as aroma, sugar and acid contents. The use of seedling selection, clonal selection and hybridization has played important roles in apricot breeding. Some researchers have long conducted research on distant hybridization and have succeeded. The establishment of embryo culture and *in vitro* regeneration system provides a powerful method for apricot breeding and germplasm innovation. Several apricot fruit quality related genes such as *Same* and *PaCCD1* have been identified. Apricot transcriptome research has provided conditions for the study of synthetic and metabolic genes and their expression patterns. Flowering biological characteristics such as flowering phenology, flower organ abortion, pollen vigor and stigma receptivity are important issues in the research of reproductive physiology of apricot. The research on the freezing-resistance of apricot flower organs has laid the foundation for the

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screening of anti-frost varieties. The study on the photosynthetic physiology of apricot trees has provided basis for the construction of high-efficiency tree shape and high-quality production. However, the research progress in water relations of apricot is relatively slow, and the research in soil and fertilization is still at a relatively preliminary level. Optimized formula of fertilization study has just started. Research on the biological characteristics, extended supply period and intensive processing of apricots has received continuous attention and has made rapid progress. Freezing point temperature storage is an effective method to maintain quality of apricot fruit in storage. How to maintain the flavor of the fruit during storage remains a difficult problem in the study of apricot storage and preservation. The comprehensive utilization of intensive processed products and by-products processing has received increasing attention. The development of apricot industry faces many difficulties and challenges, which restricts its development in the long run. Strengthening research and development is urgently needed in the aspects of innovation of apricot germplasm and application of outstanding fine varieties, and technological breakthroughs in integrative high-quality and efficient cultivation, safe production, post-harvest handling, packaging and high-quality commodity upgrading, and cold chain supply technology.

Key words: Apricot; New China; 70 years; Scientific research; Review; Prospect

杏(*Prunus armeniaca* L.)是原产中国的特色果树,其抗性强、果实的食用品质特点突出、品种和类型丰富、加工产品多样、增值利用潜力巨大。我国杏栽培面积和产量均居世界首位,据统计^[1],2016年全国鲜食和加工杏栽培面积36万hm²,产量270万t;仁用杏181.6万hm²,其中山杏153.8万hm²,大扁杏27.8万hm²,年产杏仁28.8万t。杏树栽培在我国农业种植结构调整和生态防护林体系建设中发挥着重要的作用。

自1949年新中国成立以来,我国的杏科学研究经历了起步、发展和快速发展几个阶段,尤其是改革开放以来,在多个研究领域不断深入并取得了长足的进步。笔者结合多年来在杏资源育种、栽培及采后研究领域的实践和成果,系统总结并综合展示国

内外杏生物学、种质资源和育种、栽培技术、采后及加工等方面的研究进展,对当前国内杏科学的研究进展进行全面分析,以期为杏研究者、生产者提供借鉴和参考。

1 研究概况

1.1 科技论文发表情况

从杏研究文献的发表情况可以看出,我国杏相关科学的研究的基本趋势与我国经济和科技发展的整体趋势同步。中国学术期刊网全文数据库(CNKI)统计结果显示(图1),上世纪50年代中后期开始有杏学术论文发表,至1979年20余a(年)间,大部分年份论文数量仅1~2篇;进入1980年代,伴随着改革进程,我国的杏科学的研究步伐逐步加快,从

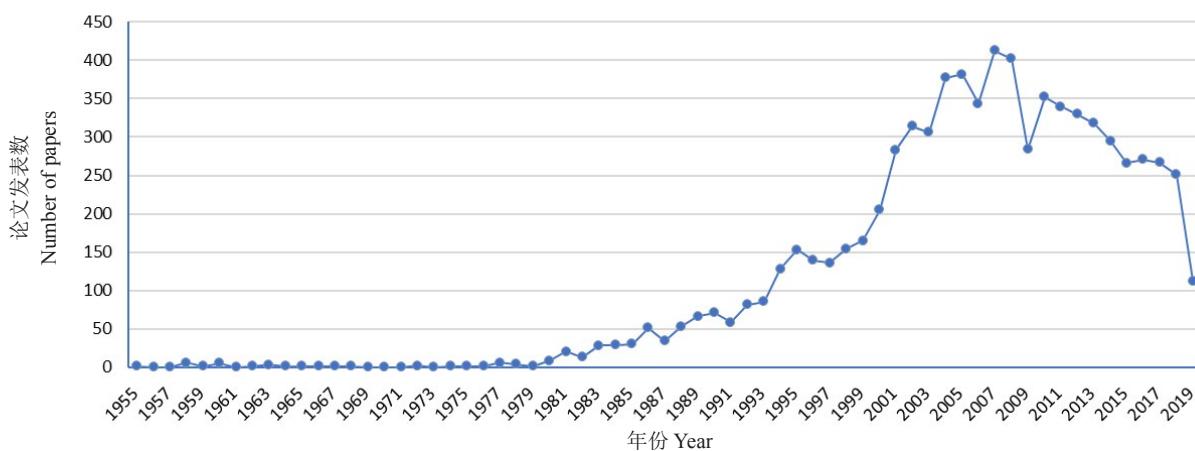


图1 1955—2019年历年杏中文科技论文发表数量

Fig. 1 Number of Chinese scientific papers in apricot published from 1955 to 2019

80年代初期的年发表数量10余篇持续增加至2007年的年发表数量413篇;从2008年开始至2019年,年发表论文数量开始有所回落,保持在300篇/年左右。截至2019年8月,与杏相关的中文科技论文共计发表7650余篇,数量可观。SCI论文发表的数量则标志着我国杏科学研究从量到质转变的趋势:SCI科学引文数据库(Web of Science: <http://apps.webofknowledge.com/>)统计结果可以看出,从2004年我国开始有杏相关研究论文在SCI索引期刊发表并呈逐年递增趋势,至今15 a共计发表129篇,其中2019年(至8月份)已经发表18篇,这从侧面反映出我国杏科学研究已进入数量质量并重、向深层次发展的阶段。

论文研究内容以品种、栽培实用技术及其应用基础研究为主,约占80%;种质资源基础研究、生理、采后、加工等理论基础研究约占20%。论文发表数量超过30篇的单位30家,其中包括新疆农业大学、北京市农林科学院林业果树研究所(2017年更名为北京市林业果树科学研究院)、山东省果树研究所、辽宁省果树科学研究所、河北农业大学、西北农林科技大学等均超过100篇,这些单位是多年来我国杏研究较为活跃和稳定的力量。

1.2 其他成果

据中国学术期刊网成果数据库(CNKI)统计结果,全国杏相关专利申请268件,其中公开发明专利256件,实用新型专利16件;全国各级研究、生产和推广单位登记各类科技成果274项,以品种、资源和栽培、综合利用实用技术为主;制定各类(国标、行标)标准25项。这些成果的取得有力地促进了杏产业的发展。

2 种质资源与育种

2.1 种质资源收集和保存

杏种质调查、收集和利用工作从建国初期起步^[2],全国范围系统开展此项工作则始于20世纪70年代末至80年代初^[3],全国李杏资源研究与利用协作组成立以来,经过10多年的杏资源调查,基本明确了我国栽培杏的地理分布,收集大量优良的杏种质,包括孙盛湘等^[4]定名的果实表面光滑无毛的‘李光杏’、普崇连等^[5]发现的濒临灭绝的极早熟资源‘骆驼黄’等,在辽宁熊岳建立了国家李杏资源圃。此后,各地的名优地方良种不断被发掘并推广应用,

在此基础上,于2003年出版了《中国果树志·杏卷》^[3]。20世纪70年代末开始,尤其是90年代,一些国外杏品种被陆续引进,此时期引自美国的‘凯特’^[6]和‘金太阳’^[7]等,在我国推广面积较大。截至2017年底,熊岳李杏资源圃共收集保存杏资源829份^[8],成为目前世界上规模最大的杏种质资源圃。基于保存的种质资源,国内不同研究机构先后构建了杏核心种质^[9-12],为深入研究和充分利用奠定了基础。

2.2 种质资源鉴定和评价

2.2.1 种质资源表型与品质鉴定 杏种质资源的鉴定从早期的利用植物学形态差异发展到目前的表型与分子鉴定相结合。早期主要采用形态学、孢粉学和同工酶等方法。孢粉学方面,罗新书等^[13]系统观察了杏80个品种的花粉形态并进行聚类分析,王玉柱等^[14]通过对杏花粉纹外壁纹饰的观察对山杏和普通杏进行区别和鉴定。廖明康等^[15]对36份杏材料的自然干燥花粉进行扫描电镜观察,发现新疆自然实生繁殖的杏品种间差异较小。同工酶方面,吕英民等^[16]利用同工酶进行杏属植物演化关系和分类的研究。廖明康等^[17]分析了杏属植物过氧化物酶和儿茶酚氧化酶同工酶的酶谱及其分布率、扫描图分析表明,不同种间有明显的差异,而种内品种间的差异较小。

为了规范杏种质资源的描述标准和鉴定评价,刘宁等^[18]于2006年出版了杏种质资源描述规范和数据标准,规范了杏种质资源的描述符及其分级标准。王玉柱等总结杏的生长习性、枝条、叶片、花及果实特征,起草编制完成《植物新品种特异性、一致性和稳定性测试指南·杏》^[19],作为国家标准(GB/T30362—2013)于2013年颁布,该标准中涉及杏植物特征描述的55个重要测试的特征,保证杏种质资源数据采集的系统性、可比性和可靠性。

检测技术的发展促进了品质性状研究的深入。陈美霞等^[20]利用毛细管电泳的方法分析了杏果实中的糖酸组分,指出供试品种的糖分中以蔗糖含量最高,酸成分为苹果酸和柠檬酸,各糖酸组分在品种间都存在较大差异。张丽丽等^[21]利用高效液相色谱法对杏品种进行糖酸检测,获得相似的结果。进一步对来自不同产区杏品种果实糖酸成分进行检测指出,各组分与总糖和总酸之间存在着显著的相关性^[22]。陈美霞等^[23]采用蒸汽蒸馏-萃取法提取2个杏

品种成熟期果实中的香气成分,进行气相色谱-质谱分析后分别鉴定出74种和72种成分,主要成分为醇类、醛类、内酯类、酮类化合物,但含量存在差异构成了不同品种之间的特有香气。周围等^[24]利用顶空固相微萃取果实香气,结合气-质联用分析了新疆杏果实的香气成分,检测到40种香气成分,品种之间的香气成分含量存在较大差异。Zhang等^[25]利用相似的方法检测到更多新的香气物质(62种新物质)。卢娟芳等^[26]鉴定出新疆杏果实的特征香气成分22种。

2.2.2 种质资源分子评价 20世纪90年代初,不同分子标记技术相继用于杏品种DNA指纹图谱构建。高志红等^[27]采用10个随机引物建立了桃、杏、梅、李代表品种的RAPD指纹图谱;吴树敬等^[28]建立了‘红丰’等20个杏品种的RAPD指纹图谱,找到了品种的特异条带;刘威生等^[29]、马丹慧^[30]、Zhang等^[31]先后建立了不同数量杏材料的ISSR、SSR指纹图谱,其中Zhang等^[31]构建了中国130个杏主栽品种的SSR指纹图谱,多态信息量PIC的变幅平均为0.788,鉴定的效率和准确性大幅度提高;艾鹏飞等^[32]筛选出Me4-Em4引物构建了SRAP标记指纹检索系统用以区分24份仁用杏品种。

沈向等^[33]利用RAPD分子标记研究表明,杏品种间表现出较强的地理分布集中性并存在广泛的遗传信息交流。苑兆和等^[34]利用荧光标记AFLP研究了南疆地区3个栽培杏群体的85个品种,指出库车群体具有最高的遗传多样性。章秋平等^[35]对67份华北生态群普通杏的SSR分析,认为华北生态群普通杏具有丰富的遗传多样性,且以西北地区遗传多样性最高。李明等^[36]利用SRAP分子标记方法对伊犁河谷14个野生杏种群的212份种质资源进行分析,认为该地区野生杏维持较高的遗传多样性。

2.2.3 亲缘关系及演化研究 核型分析、同工酶及孢粉学研究是早期亲缘关系研究的重要方法。吕增仁等^[37]等通过分析杏属植物核型特征指出山杏(*P. sibirica*)较普通杏(*P. armeniaca*)稍微进化;韩大鹏^[38]认为梅(*P. mume*)是由普通杏(*P. armeniaca*)进化而来;吕英民等^[16]基于同工酶的研究,认为杏属植物的进化方式是自然的地理隔离,山杏(*P. sibirica*)、辽杏(*P. mandshurica*)、藏杏(*P. holosericea*)等种均由普通杏(*P. armeniaca*)进化而来;杨会侠^[39]进一步根据孢粉学研究,认为杏属植物的进化趋势为:普通

杏(*P. armeniaca*)→辽杏(*P. mandshurica*)→山杏(*P. sibirica*)→藏杏(*P. holosericea*)。DNA水平的分子标记促进了杏种质资源亲缘关系的研究:冯晨静等^[40]采用ISSR分析,认为普通杏(*P. armeniaca*)与山杏(*P. sibirica*)、辽杏(*P. mandshurica*)及藏杏(*P. holosericea*)的亲缘关系较近,而与紫杏(*P. dasycarpa*)的亲缘关系较远;王玉柱等^[41]用RAPD技术证实‘大扁杏’与西伯利亚杏(*P. sibirica*)亲缘关系更近。

2.3 种质创新与新品种选育

实生选种、无性系选择及芽变选择一直在杏育种中发挥重要作用,1990年以后,一批通过上述手段选育的新品种陆续通过审定。如吕增仁^[42]从‘串枝红’实生后代中选出‘金星’、曾烨等^[43]在‘义和杏’实生后代中选出‘龙园桃杏’、赵习平等^[44]从‘大丰’实生后代中选出‘硕光’、杜锡莹等^[45-46]从‘金太阳’实生后代中选出‘丰园红’和‘丰园77’;刘宁等^[47]从6个大扁杏优系中选出‘国仁’和‘丰仁’;田建保^[48]从‘金太阳’的矮化变异中选出‘金矮杏’。

本世纪初,利用有性杂交育成的杏品种逐渐推广应用,如利用‘大偏头’×‘红荷包’、‘青密沙’×‘骆驼黄’分别育成早熟鲜食品种‘京早红’^[49]、‘京香红’和‘京脆红’^[50];赵习平等^[51-52]利用‘串枝红’×‘二红杏’育成鲜食加工兼用品种‘冀光’,利用‘串枝红’×‘金太阳’育成加工专用品种‘金秀’;张玉萍等^[53]利用‘串枝红’×‘金太阳’育成中熟鲜食品种‘国强’;薛晓敏等^[54]利用‘二花槽’×‘红荷包’育成早熟鲜食品种‘魁金’;陈玉玲等^[55]利用‘密香’×‘凯特’育成早熟大果鲜食品种‘玫瑰’。据统计,十一五期间我国共审定杏品种40余个^[56]。

利用亲缘关系较近的种、属间植物开展杂交,有研究者长期开展此项工作并取得种质创新成果。李锋等^[57]开展了李、杏间的远缘杂交,发现以李为母本、杏为父本可以得到杂种,不同品种间存在一定差异;反之则不成功;杨红花等^[58-59]针对远缘杂交不亲和、杂种不育问题,首次提出“三级放大”的研究思路和方案;牟蕴慧等^[60]和利用李、杏远缘杂交相继育成晚熟鲜食品种‘龙园甜杏’和‘龙园黄杏’;王玉柱等利用杏和扁桃远缘杂交,育成了仁用杏品种‘京仁1号’、‘京仁2号’和‘京仁3号’^[8]。

2.4 生物技术在育种中的应用

2.4.1 胚培养技术 现代生物技术的发展,不断为

杏育种和种质创新提供新的途径^[61]。多个研究者开展了早熟杏胚培养育种研究工作:王玉柱等^[62]通过建立未成熟杏胚离体培养技术体系,解决早熟杏和远缘杂交种子败育问题;陈学森等^[63-66]利用早熟杏胚培养技术辅助育成‘红丰’‘新世纪’‘山农凯新1号’和‘山农凯新2号’,石荫坪^[67]育成‘试管早红1号’和‘试管早荷1号’。

2.4.2 离体快繁再生体系 建立离体再生体系,有利于推动基因遗传转化、基因功能鉴定及繁殖应用等研究的开展。目前利用杏的茎尖、原生质体、芽、叶、愈伤组织等获得再生植株取得了一定成果。马锋旺等^[68]以‘龙王帽’种胚愈伤组织为试材,优化了诱导启动原生质体细胞分裂的条件,并研究了山杏原生质体分离和培养的影响因素和条件,获得山杏再生植株;陈崇顺等^[69]通过茎尖离体培养获得再生植株;王玖瑞^[70]研究指出,离体去皮根段适宜诱导愈伤组织;田毅等^[71]以‘凯特’杏带腋芽茎段研究了诱导愈伤组织的培养条件;孙浩元等^[72]以李、杏砧木‘St.Julien A’的芽和茎段为外植体,建立了无性繁殖技术体系,生根率达96%,移栽成活率达到91.6%,为无性系砧木规模化生产奠定基础。

2.4.3 功能基因鉴定与遗传转化 果树自交不亲和现象一直是研究者关注的重点。杏属于配子体自交不亲和系统,受一个具有复等位基因的S-基因位点控制。李芳东等^[73]、刘月霞等^[74]、李亚兰等^[75]、刘海楠等^[76]分别对不同杏李品种自交不亲和SFB基因进行了全长的克隆与序列分析;李亚兰等^[77]克隆获得了2个中亚杏S-RNase基因的全长。

越来越多的杏果实品质相关基因被克隆并进行了生物学分析。已有山杏苹果酸酶基因SaME^[78]、甜杏仁和苦杏仁野黑樱苷水解酶基因PaLTPH和PaM-JPh^[79]、杏蔗糖转运蛋白基因PaSUC4^[80]、小白杏脂氧化酶基因PaLOX^[81]、杏香气形成特异基因PaACCDI^[82]等被鉴定。卢娟芳^[26]还对杏果实类胡萝卜素裂解双加氧酶基因PaACCD1、PaACCD4及其启动子进行了克隆与功能分析;刘力力等^[83]进行了山杏阳离子氨基酸转运体CAT家族基因的生物信息学预测及表达分析。在杏抗性基因和标记方面,抗白粉病基因RPW8^[84]、抗逆途径关键转录因子AlsCBF基因^[85]、抗PPV连锁标记^[86]已被发现和报道。

2.5 组学研究

目前主要集中在转录组研究领域。宋猜等^[87]对

仁用杏花芽进行高通量测序,建立数字基因表达谱数据,挖掘出126个与开花相关的基因,同时筛选出409个仁用杏的新基因,以及33个与植物节律代谢通路相关的unigenes。谭金花等^[88]对仁用杏果肉进行了转录组测序及差异表达基因的筛选,以了解与糖酸合成相关的基因及其表达模式;赵罕等^[89]利用华仁杏转录组中的SSR信息开发出19对高多态性引物,为资源评价及分子标记辅助育种提供了基础。相信在不久的将来,杏基因组、蛋白组、代谢组等方面的研究会有较快进展。

3 生物学特性和栽培基础研究

3.1 开花生物学研究

开花物候期、花器官败育、花粉活力及柱头可授性等开花生物学特性是杏树生殖生理研究的重要内容。不同杏品种开花生物学特性差异较大。安晓芹等^[90]研究发现,大部分新疆杏品种花期基本一致,一般持续6~9 d,品种间有3~4 d重叠,品种间花粉量与花粉活力存在较大差异,刘立强等^[91]和刁永强等^[92-93]也有类似发现。杏花雌蕊败育现象普遍,早在1963年,曲泽洲等^[94]对不完全花的发生规律进行了研究,并且不同品种雌蕊败育率高低差别极大^[95-98],即便同一品种不同树龄、不同类型结果枝的不完全花比例也存差异^[92]。

授粉研究对于杏树增产及育种均具有重要意义。据研究,开花前一天或当天可授性最强^[99]。郑洲等^[100]以自花授粉坐果率≥6%为亲和标准,确定了部分杏品种的自交不亲和/亲和类型。对于自交不亲和品种,生产中一般采用配置授粉树以提高产量。王玉柱^[101]通过杏品种授粉组合亲和性试验,筛选了部分杏品种适宜的授粉组合。此外,在授粉品种与主栽品种花期不遇的情况下也可利用贮藏花粉进行人工授粉^[102],在不同的授粉方式中以人工授粉坐果率最好^[92, 102-104]。

杏树花期早,花期晚霜危害长期困扰着生产。白岗栓^[105]调查认为,在白于山山区仁用杏与当地原有普通杏、山杏及西伯利亚杏相比,开花最早且花期短,霜冻造成的绝收年份最多。研究表明,不同花器官抗寒能力顺序为花瓣>雄蕊>雌蕊^[106-107];而以桃为砧木的杏树,花器冻害明显轻于杏砧^[108]。不同品种的花器官表现出抗寒能力的差异。孙晓光等^[109]对研究发现,‘围选1号’‘涿选1号’和‘龙王

帽’花器的抗寒性表现为由强到弱的顺序。陈学森^[110]研究发现,‘红荷包’杏的花器官抗冻能力明显优于‘红玉杏’。以上研究为抗晚霜品种筛选奠定了基础。

3.2 果实生长发育规律和水肥高效利用研究

3.2.1 果实生长发育 杏果实生长发育规律的研究,可为施肥、灌水以及采收适宜时期的确提供科学依据。王玉柱早在80年代初观察发现,鲜食杏果实的生长动态呈“双S”型曲线,大致可分为三个时期:迅速生长期(核生长、胚乳形成期)、缓慢生长期(硬核、胚生长期)、第二次迅速生长期(果肉生长成熟、胚充实成熟期)。而仁用杏果实的发育是“单S”型。随后,于希志等^[111]研究了不同成熟期杏果发育的动态均是三慢两快的“双S”型曲线,并分析了果实发育三个时期与产量和品质构成的关系,指出杏果实发育第一期对产量影响最大,而糖分的积累主要在果实发育的第三期。随着研究的深入,多个栽培杏品种如‘串枝红’‘骆驼黄’和‘青蜜沙’等鲜食杏、‘龙王帽’和‘优一’等仁用杏的果实发育动态均已被调查^[112-113],为杏园的高效栽培管理和相关深入研究的动态取样奠定了基础。

3.2.2 水分高效利用 杏树水分利用研究领域进展相对迟缓。夏江宝等^[114]以黄土丘陵区山杏为试材研究表明,山杏叶片净光合速率、蒸腾速率及水分利用效率对土壤含水量有明显的阈值响应,随着土壤含水量的递增,光补偿点降低、光饱和点、表观量子效率和最大净光合速率均升高;在水分过高或过低时,净光合速率、蒸腾速率两者均呈现下降趋势;在光合有效辐射为800~1 200 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ 时,净光合速率和水分利用效率都具有较高水平。‘金太阳’杏幼树在土壤水分胁迫条件下,水分利用效率降低^[115]。使用土壤保水剂可以提高杏树的水分利用效率^[116],增加产量,王文静等^[117]和庞海颖等^[118]研究结果类似。仁用杏-谷子间作系统光能利用效率较高,两种作物的水分利用效率均有提高,值得进一步研究^[119]。

3.2.3 肥料高效利用 土壤和肥料高效利用研究领域一直停留在较为初级的水平和经验总结层面,是杏树研究的短板之一,优化配方施肥还处于起步阶段,距精准配方施肥差距还很大。王玉柱^[120]最早利用叶分析的方法,对‘山黄杏’常量营养元素氮、磷、钾进行了年周期动态测定,为杏树氮磷钾施用时期

的确定提供依据。盛花期喷施硼酸和尿素的复合肥料,可显著提高‘轮台白杏’坐果率^[121];施肥时增加水分的供应对提高杏果实产量、改善品质具有重要作用^[122-123]。研究表明,滴灌施肥是山区杏树施肥的推荐方式^[124]。氮、磷、钾、钙和微量元素配方肥的可以明显提高果实品质^[125],增施有机肥在改善品质方面的作用显著^[126-127];复合氮肥混合配方促进了山杏对土壤中有效水分的吸收^[128]。

3.3 光合生理及整形修剪

对杏树光合生理的研究为高光效树形的构建和优质生产奠定了基础。一般杏属植物光合作用的季节变化曲线呈现双峰型变化规律^[129-130];在自然条件下,杏树光合作用的日变化有单峰型和双峰型2种典型模式,在水分胁迫时日变化由双峰型变为单峰型^[130-133]。光合参数(光饱和点、光补偿点、最大净光合速率、暗呼吸速率和光合量子效率等)是研究光合生理的基础,它们可以反映不同品种对环境的适应状况^[134-136],同时,还对确立理想的树形具有指导意义^[134]。研究表明去果处理后上位和下位新梢上叶片的光合速率明显降低;对新梢基部进行环割处理新梢叶片的光合速率明显低于未环割处理^[137]。薄翠萍等^[138]对开心形冠内光分布的解析发现,光合有效辐射强度上层>中层>下层,如果主枝开张角度太小,冠层消光系数偏大,不利于树冠对光能的有效利用,晁海等^[139]也获得类似结果。姜凤超等^[140]对南疆杏树品种的光合特性的研究表明,通过修剪改善树体内膛光照条件,有利于充分发挥杏树光合潜力,提高产量和品质。

4 采后研究

杏果因富含β-胡萝卜素和多种人体必需的维生素^[141]以及丰富的酚酸、黄酮醇、黄烷醇等类黄酮类物质^[142],具有较好的营养价值和保健作用。由于杏是典型的跃变型果实,采后果实容易软化和腐烂。在杏采后生物学特性、延长供应期以及精深加工等方面的研究受到持续关注,取得了较多进展。

4.1 采后生理特性

呼吸作用是杏果采收后进行的重要生理代谢活动,是影响贮运效果的重要因素。王玉柱等^[143]研究发现,在常温(20±2)℃‘贮藏环境条件下,‘大偏头’、‘串枝红’和‘北寨红杏’的果实在贮藏后11~12 d时有一次乙烯释放高峰,12~13 d时出现呼吸强

度高峰。*‘树上干杏’*贮藏至第10天达到呼吸峰值,之后快速下降^[144]。*‘赛买提’杏*在4℃下贮藏21 d时出现了呼吸高峰^[145]。*‘小白杏’*在4~6℃贮藏和0~1℃贮藏条件下,贮藏28 d时出现呼吸高峰,近冰点贮藏条件下,呼吸高峰出现在贮藏42 d时^[146],较前者推迟14 d。针对采后杏果呼吸作用的变化特性,通常采用降低温度、调节环境的气体组分、减压等贮藏措施延迟呼吸高峰的到来^[144, 146~148],使用乙烯释放抑制剂等来降低呼吸释放的乙烯对果实衰老的影响^[145, 149]。

果实质地特别是硬度变化是评价杏果实贮藏品质的重要指标。张文涛等^[144]通过感官体验发现,1.5 kg·cm⁻²是*‘树上干’杏*果实软化的临界值。*‘凯特’杏*20℃下贮藏6 d时硬度下降到1.0 kg·cm⁻²以下,失去商品价值^[150]。杏果实采后成熟过程中硬度的下降与果胶、纤维素等细胞壁多糖的降解密切相关,因此,杏果中果胶物质含量及与其相关的多聚半乳糖醛酸酶(PG)活性高低通常是用来判定杏果质地优劣的重要参数^[151]。范新光^[152]研究指出,近冰温贮藏通过抑制杏果实中纤维素、果胶和细胞壁中胶层的降解,延缓杏果实软化进程。

采后果实风味及营养成分发生显著变化。杏果实成熟过程中果糖、山梨糖醇、葡萄糖含量逐渐下降,蔗糖含量呈先上升后下降的趋势^[145, 153]。可溶性固形物(TSS)含量在贮藏前期缓慢上升,在贮藏中后期迅速下降^[147, 154~155]。苹果酸和柠檬酸是杏果中主要的有机酸^[156],贮藏过程中,可滴定酸(TA)及各种有机酸含量均呈显著下降趋势^[144~145, 157]。杏果中的维生素C含量也是随着贮藏期的延长而迅速下降^[148, 158~159]。因而,保持营养组分是评价贮藏效果的关键指标。

4.2 贮藏保鲜技术

如何保持果实的风味,仍然是杏贮藏保鲜研究的难题。目前主要采用低温冷藏和气调贮藏,并结合1-甲基环丙烯(1-MCP)、水杨酸(SA)、壳聚糖等药剂处理的方法。

4.2.1 冷藏 已有的研究表明,杏果适宜的冷藏温度一般以0~4℃为宜。不同品种适宜的冷藏温度不同,贮藏期也不同。在0℃条件下,*‘兰州大接杏’*可贮存15~20 d^[160],*‘香白杏’*可贮藏35~42 d^[161]。1.5℃贮藏能有效地延缓*‘银白杏’*和*‘苹果白杏’*果实SSC、TA、Vc降解^[148]。0℃下贮藏可有效抑制*‘树上干杏’*的腐烂变质和软化衰老^[144]。杏果实对贮藏

温度比较敏感,-2℃下贮藏*‘树上干’杏*15 d后出现严重的冻害症状^[151]。

4.2.2 气调贮藏 为有效延长杏果贮藏期,多采用气调贮藏和低温冷藏相结合的方法。中国杏果的气调贮藏,多采用3%~5%O₂和3%CO₂的气体组分参数。3% O₂+2%~4% CO₂的气体水平组合对维持*‘银白杏’*和*‘苹果白杏’*的贮藏品质有较好的作用,杏果实贮藏30 d后硬度仍能达到5 kg·cm⁻²左右,维生素C含量达到6.5 mg·100 g⁻¹左右^[162]。王炜等^[147]研究发现,在0~1℃、95%相对湿度条件下,5% O₂+3% CO₂的气体组合可有效降低*‘金太阳杏’*果实的腐烂率和褐变度,保持含水量和硬度,提高贮藏品质。5% O₂+10% CO₂的气体组合适宜*‘凯特杏’*5周的冷藏,1%~3%的低氧对果实造成伤害^[163]。在实际生产中,杏果一般采用聚氯乙烯(PVC)或聚乙烯(PE)保鲜袋进行简易气调包装保鲜以降低成本。*‘银白杏’*在4℃条件下贮藏两周后,PVC袋内O₂和CO₂含量分别保持在3%和3%~5%,达到最佳的气调贮藏条件和贮藏保鲜效果^[158]。

4.2.3 保鲜剂处理 1-MCP、SA、苯丙噻重氮(BTH)等已应用于杏果的贮藏保鲜。*‘凯特杏’*果实经1.0 μL·L⁻¹的1-MCP处理后,(20±0.5)℃条件下果实商品性可维持7~10 d,(8±0.5)℃下可维持15 d^[150]。0.5 g·L⁻¹ SA处理能够较好地降低*‘梅杏’*的呼吸强度和失重率,保持较高的硬度、可溶性固形物、可滴定酸和维生素C含量,延长贮藏期^[164]。0.1 g·L⁻¹ BTH处理*‘赛买提杏’*可有效地保持杏果实的硬度,推迟果实呼吸高峰,延缓可滴定酸含量、有机酸含量以及糖含量的降低和果实色泽的转变^[145]。虽然这些药剂处理保鲜效果相对较好,但适宜的浓度不易把握。

4.2.4 冰温贮藏 冰温贮藏是继冷藏、气调贮藏之后的第3代贮藏保鲜技术,在杏果贮藏保鲜方面的应用研究处于起步阶段。白国荣等^[165]研究表明,*‘树上干杏’*果实冰点为-3.1℃,果实冰温区间为-1.5~2.0℃,冰温贮藏70 d后仍保持较好的品质。崔宽波等^[146]利用近冰温贮藏*‘小白杏’*56 d时,果实中苹果酸和柠檬酸的含量分别比0~1℃贮藏条件组高11.6%和9.5%,是提高杏果实保鲜效果和贮藏品质的有效方法,有较好地应用前景。

4.3 分级包装及加工

4.3.1 果实分级包装 目前杏果分级多以人工分选

为主。采后的包装方式主要有瓦楞纸箱、聚氯乙烯(PVC)薄膜包装袋+瓦楞纸箱、塑料网套单果包装等。杨娟侠等^[166]研究指出,表面带有塑封膜的纸盒包装对杏贮藏过程中鲜活度和营养价值的保持效果最好。王英等^[167]研究表明,聚乙烯塑料网套单果包装可以较好地保持果实硬度、可溶性固形物含量,延长杏贮藏期。胡花丽等^[168]、赵鑫等^[158]研究认为,厚度0.03 mm的紫色PVC袋适宜作‘银白’杏等果实的贮藏包装材料。

4.3.2 杏肉和杏仁加工 传统的杏肉加工制品有杏干、浓缩杏浆、杏脯、杏罐头、杏饮料、杏酒等。吕增仁等^[169]对多个杏地方品种的加工适应性进行了评价,指出了适宜制脯和制罐头的多个品种类型。近年陆续选育出一批鲜食加工兼用的良种,如京早红^[49]、京佳2号^[170]等。赵习平等^[52]选育的‘金秀’,适宜杏脯加工,出脯率为40%。针对传统杏干加工中用硫磺水浸泡、露天晾晒品质难以保障的问题,张谦等^[171]研制了一种小型太阳能干燥装置生产杏干,干燥周期短,感官品质好。

为进一步提高杏仁的附加值,在杏仁蛋白、杏仁油、苦杏仁苷、杏仁皮等方面加工工艺改善、加工品质改良、以及精深加工方面开展了较多的研究。超声波辅助提取法是制备杏仁油的较优方法。薛焕换等^[172]对此方法进行正交优化,提出的最佳提取条件,杏仁油提取率达到45.85%。薛菁等^[173]对苦杏仁油脱色工艺进行了优化,提出最佳脱色工艺条件,脱色率实际值为79.93%,脱色前后的苦杏仁油脂肪酸组成及相对含量基本不变,不饱和脂肪酸含量丰富。薛菁等^[174]进一步研究了精炼工艺对苦杏仁油品质及其氧化稳定性的影响,为苦杏仁的精深加工奠定了基础。

脱苦是苦杏仁加工过程中必不可少的环节,随着科技的发展,脱苦方法在不断优化。张宁等^[175]采用响应面优化了苦杏仁超声脱苦工艺条件,使苦杏仁苷溶出率达63.17%,实现了苦杏仁的快速、节能、高效脱苦。Song等^[176]对热水脱苦处理的条件以及对杏仁品质的影响进行系统研究,并对脱苦后废水中进行了分析,为废水回收利用、减少环境污染提供了依据。

此外,杏果、杏仁的加工副产品含有相当丰富功能成分,如杏仁加工副产物苦杏仁皮,富含多酚类化合物、黑色素、苦杏仁苷等功能性成分,以及大量的

纤维素、半纤维素、木质素等功能性膳食纤维^[177]。张珍^[178]试验确定了杏渣纤维提取的最佳工艺和方法。张清安等^[179]研究指出,超临界CO₂处理可以作为对不溶性膳食纤维进行适当改性的有效方法。加工副产物的综合利用日益受到重视。

5 展望

杏作为特色果树,特点和优势突出,但与苹果、梨、柑橘、葡萄等耐贮运、供应期长的大宗果树相比弱点也很明显,杏的贮运性能差,供应期和货架期短;由于花期早,杏花经常遭受晚霜危害,导致减产甚至绝收;生产上栽培的品种品质参差不齐;市场上供应的杏果由于采收过早,品质极差,加之在我国关于杏的说法“桃饱杏伤人”等流传广泛,使消费者对于杏的偏见加深,这对杏产业的发展尤为不利。这些都是杏产业发展面临的难题和挑战,也是长期制约发展的瓶颈。

由此,在杏种质资源保存利用、种质创新、新品种尤其是抗(避)晚霜、成熟期大幅度延后或提前的突破性优良品种的培育等产业链上游方面加强研发,在优质高效栽培、安全生产、采后处理、包装及优质商品提升、冷链供应技术等产业链中游等方面进行技术突破、集成和应用,使生产和市场有效地衔接,大幅度的提高商品品质,为消费者供应更多优质的杏果,转变消费者的认识误区,同时进一步开发杏的新型加工品、加强正面宣传、正确引导消费,为杏及其产品销售创造良好的商品市场氛围,将是弥补杏产业发展的劣势、促进行业效益整体提升的关键所在,是使杏产业长期发展缓慢的面貌得以改善、并突出重围的根本出路。

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